

Report on financial sanctions imposed by commissioners on providers under the NHS Standard Contract for failure to achieve national standards

|                                |                   |
|--------------------------------|-------------------|
| Commissioner name              | NHS Gateshead CCG |
| Commissioner organisation code | OOF               |

Notes

This report should be published quarterly by each commissioner on its website.  
 Only include those providers where sanctions of over £1,000 are triggered and applied for a particular standard in the period.  
 Enter values in £.  
 For further detail, see Appendix 6 of the Contract Technical Guidance, available at <http://www.england.nhs.uk/nhs-standard-contract/>

| QUARTER 4 2014/2015  |   |  |       |      |      |          |         |          |          |   |
|--|---|--|-------|------|------|----------|---------|----------|----------|---|
| Ref  | Schedule 4A Operational Standards   | Standard                                     | NHCFT | NuTH | STFT | GHFT     | CHS     | NEAS     | Total, £ | Actual or intended use of funding withheld  |
| <b>RTT waiting times for non-urgent consultant-led treatment</b> |   |  |       |      |      |          |         |          |          |   |
| E.B.1  | Percentage of admitted Service Users starting treatment within a maximum of 18 weeks from Referral  | Operating standard of 90% at specialty level | £ -   | £ -  | £ -  | £ 1,600  | £ 2,824 | £ -      | £ 4,424  | Penalty sums used to support recovery and additional capacity plans to aid performance recovery |
| E.B.2  | Percentage of non-admitted Service Users starting treatment within a maximum of 18 weeks from Referral  | Operating standard of 95% at specialty level | £ -   | £ -  | £ -  | £ -      | £ -     | £ -      | £ -      |   |
| E.B.3  | Percentage of Service Users on incomplete RTT pathways (yet to start treatment) waiting no more than 18 weeks from Referral   | Operating standard of 92% at specialty level | £ -   | £ -  | £ -  | £ -      | £ 1,250 | £ -      | £ 1,250  | Penalty sums used to support recovery and additional capacity plans to aid performance recovery |
| <b>Diagnostic test waiting times</b>                             |   |  |       |      |      |          |         |          |          |   |
| E.B.4  | Percentage of Service Users waiting less than 6 weeks from Referral for a diagnostic test   | Operating standard of 99%                    | £ -   | £ -  | £ -  | £ 2,600  | £ -     | £ -      | £ 2,600  | Penalty sums used to support recovery and additional capacity plans to aid performance recovery |
| <b>A&amp;E waits</b>   |   |  |       |      |      |          |         |          |          |   |
| E.B.5  | Percentage of A & E attendances where the Service User was admitted, transferred or discharged within 4 hours of their arrival at an A&E department                                 | Operating standard of 95%                    | £ -   | £ -  | £ -  | £ 61,200 | £ 1,280 | £ -      | £ 62,480 | Penalty sums used to support recovery and additional capacity plans to aid performance recovery |
| <b>Cancer waits - 2 week wait</b>                                |   |  |       |      |      |          |         |          |          |   |
| E.B.6  | Percentage of Service Users referred urgently with suspected cancer by a GP waiting no more than two weeks for first outpatient appointment   | Operating standard of 93%                    | £ -   | £ -  | £ -  | £ -      | £ -     | £ -      | £ -      |   |
| E.B.7  | Percentage of Service Users referred urgently with breast symptoms (where cancer was not initially suspected) waiting no more than two weeks for first outpatient appointment       | Operating standard of 93%                    | £ -   | £ -  | £ -  | £ -      | £ -     | £ -      | £ -      |   |
| <b>Cancer waits – 31 days</b>                                    |   |  |       |      |      |          |         |          |          |   |
| E.B.8  | Percentage of Service Users waiting no more than one month (31 days) from diagnosis to first definitive treatment for all cancers   | Operating standard of 96%                    | £ -   | £ -  | £ -  | £ -      | £ -     | £ -      | £ -      |   |
| E.B.9  | Percentage of Service Users waiting no more than 31 days for subsequent treatment where that treatment is surgery   | Operating standard of 94%                    | £ -   | £ -  | £ -  | £ -      | £ -     | £ -      | £ -      |   |
| E.B.10   | Percentage of Service Users waiting no more than 31 days for subsequent treatment where that treatment is an anti-cancer drug regimen   | Operating standard of 98%                    | £ -   | £ -  | £ -  | £ -      | £ -     | £ -      | £ -      |   |
| E.B.11   | Percentage of Service Users waiting no more than 31 days for subsequent treatment where the treatment is a course of radiotherapy   | Operating standard of 94%                    | £ -   | £ -  | £ -  | £ -      | £ -     | £ -      | £ -      |   |
| <b>Cancer waits – 62 days</b>                                    |   |  |       |      |      |          |         |          |          |   |
| E.B.12   | Percentage of Service Users waiting no more than two months (62 days) from urgent GP referral to first definitive treatment for cancer  | Operating standard of 85%                    | £ -   | £ -  | £ -  | £ -      | £ -     | £ -      | £ -      |   |
| E.B.13   | Percentage of Service Users waiting no more than 62 days from referral from an NHS screening service to first definitive treatment for all cancers                                  | Operating standard of 90%                    | £ -   | £ -  | £ -  | £ -      | £ -     | £ -      | £ -      |   |
| E.B.14   | Percentage of Service Users waiting no more than 62 days for first definitive treatment following a consultant's decision to upgrade the priority of the Service User (all cancers) | For local determination                      | £ -   | £ -  | £ -  | £ -      | £ -     | £ -      | £ -      |   |
| <b>Category A ambulance calls</b>                                |   |  |       |      |      |          |         |          |          |   |
| E.B.15.i   | Percentage of Category A Red 1 ambulance calls resulting in an emergency response arriving within 8 minutes   | Operating standard of 75%                    | £ -   | £ -  | £ -  | £ -      | £ -     | £ 27,525 | £ 27,525 | Penalty sums used to support recovery and additional capacity plans to aid performance recovery |
| E.B.15.ii  | Percentage of Category A Red 2 ambulance calls resulting in an emergency response arriving within 8 minutes   | Operating standard of 75%                    | £ -   | £ -  | £ -  | £ -      | £ -     | £ 9,420  | £ 9,420  | Penalty sums used to support recovery and additional capacity plans to aid performance recovery |
| E.B.16   | Percentage of Category A calls resulting in an ambulance arriving at the scene within 19 minutes  | Operating standard of 95%                    | £ -   | £ -  | £ -  | £ -      | £ -     | £ 9,420  | £ 9,420  | Penalty sums used to support recovery and additional capacity plans to aid performance recovery |
| <b>Mixed sex accommodation breaches</b>                          |   |  |       |      |      |          |         |          |          |   |

|                             |  |  |     |     |     |     |     |     |     |     |
|-----------------------------|--|--|-----|-----|-----|-----|-----|-----|-----|-----|
| E.B.S.1                     | Sleeping Accommodation Breach  | Zero breaches  | £ - | £ - | £ - | £ - | £ - | £ - | £ - | £ - |
| <b>Cancelled operations</b> |  |  |     |     |     |     |     |     |     |     |
| E.B.S.2                     | All Service Users who have operations cancelled, on or after the day of admission (including the day of surgery), for non-clinical reasons to be offered another binding date within 28 days, or the Service User's treatment to be funded at the time and hospital of the Service User's choice | All Service Users to be offered another binding date within 28 days >0 | £ - | £ - | £ - | £ - | £ - | £ - | £ - | £ - |
| <b>Mental health</b>        |  |  |     |     |     |     |     |     |     |     |
| E.B.S.3                     | Care Programme Approach (CPA): The percentage of Service Users under adult mental illness specialities on CPA who were followed up within 7 days of discharge from psychiatric in-patient care   | Operating standard of 95%  | £ - | £ - | £ - | £ - | £ - | £ - | £ - | £ - |

| Ref      | Schedule 4A Operational Standards  | Standard   | NHCFT | NuTH | STFT | GHFT     | CHS | NEAS    | Total, £ | Actual or intended use of funding withheld   |
|----------|--|--|-------|------|------|----------|-----|---------|----------|--|
| E.A.S.4  | Zero tolerance MRSA  | Zero breaches  | £ -   | £ -  | £ -  | £ 10,000 | £ - | £ -     | £ 10,000 | Penalty sums have been used to support HCAI improvement measures within the Trust                      |
| E.A.S.5  | Minimise rates of Clostridium difficile  | Zero breaches  | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |
| E.B.S.4  | Zero tolerance RTT waits over 52 weeks for incomplete pathways   | Zero breaches  | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |
| E.B.S.7a | All handovers between ambulance and A & E must take place within 15 minutes with none waiting more than 30 minutes                                     | Zero breaches  | £ -   | £ -  | £ -  | £ 1,800  | £ - | £ -     | £ 1,800  | Penalty sums utilised to fund recovery and additional resources where necessary to improve performance |
| E.B.S.7b | All handovers between ambulance and A & E must take place within 15 minutes with none waiting more than 60 minutes                                     | Zero breaches  | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |
| E.B.S.8a | Following handover between ambulance and A & E, ambulance crew should be ready to accept new calls within 15 minutes and no longer than 30 minutes     | Zero breaches  | £ -   | £ -  | £ -  | £ -      | £ - | £ 1,281 | £ 1,281  | Penalty sums utilised to fund recovery and additional resources where necessary to improve performance |
| E.B.S.8b | Following handover between ambulance and A & E, ambulance crew should be ready to accept new calls within 15 minutes and no longer than 60 minutes     | Zero breaches  | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |
| E.B.S.5  | Trolley waits in A&E not longer than 12 hours  | Zero breaches  | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |
| E.B.S.6  | No urgent operation should be cancelled for a second time  | Zero breaches  | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |
|          | VTE risk assessment: all inpatient Service Users undergoing risk assessment for VTE, as defined in Contract Technical Guidance                         | Operating standard of 95%  | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |
|          | Publication of Formulary   | Continuing failure to publish  | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |
|          | Duty of candour  | Each failure to notify the Relevant Person of a suspected or actual Reportable Patient Safety Incident in accordance with SC35 | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |
|          | Completion of a valid NHS Number field in mental health and acute commissioning data sets submitted via SUS, as defined in Contract Technical Guidance | Operating standard of 99%  | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |
|          | Completion of a valid NHS Number field in A&E commissioning data sets submitted via SUS, as defined in Contract Technical Guidance                     | Operating standard of 95%  | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |
|          | Completion of Mental Health Minimum Data Set ethnicity coding for all detained and informal Service Users, as defined in Contract Technical Guidance   | Operating standard of 90%  | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |
|          | Completion of IAPT Minimum Data Set outcome data for all appropriate Service Users, as defined in Contract Technical Guidance                          | Operating standard of 90%  | £ -   | £ -  | £ -  | £ -      | £ - | £ -     | £ -      |  |

